



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Michio YAMAZAKI, et al.

Appln. No. 09/883,275

Group Art Unit: 2878

Confirmation No.: 9438

Examiner: Unknown

Filed: June 19, 2001

For: POLYMERIC FLUORESCENT SUBSTANCE AND POLYMER LIGHT-EMITTING
DEVICE USING THE SAME

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TC 1700

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

#5

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) (substitute for PTO Form 1449) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above identified application.

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One copy of each of the listed documents is submitted herewith.

1. World Patent No. 98/27136, published June 25, 1998 with English Abstract.
2. World Patent No. 99/24526, published May 20, 1999 with English Abstract.
3. World Patent No. 99/21936, published May 6, 1999 with English Abstract.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution

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application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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